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A Machine for Production of Granular Silicon

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U.S. Patent Application of:

Stephen Michael Lord

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5 Related Applications

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15 Title : Methods for Heating a Fluidized Bed Silicon Deposition Apparatus

A Machine for Production of Granular Silicon

Background of the Invention

This invention relates generally to the field of deposition of silicon by chemical vapor deposition, and more particularly to a Machine for Production of Granular Silicon which is of lower cost, more convenient, more reliable, more efficient, provides better quality granules and is better integrated into the overall silicon purification process than existing methods. The use of a pulsing gas flow to circulate granules between a heater and reactor section solves both the granule heating problem and the granule sintering problems that have prevented prior methods from operating for extended time and producing good quality granules. This approach enables use of cheap and reliable resistance heating in contrast to more expensive and less reliable techniques such as microwave and laser heating. Use of an inline non contaminating sieve technique and pulsing gas flow removes silicon product with a more uniform size and returns undersize material which reduces seed generation problems. Online adjustment of the gas pulse shape and flow distribution provides additional control of attrition and seed generation. An optional feedstock recovery system for hydrohalosilane feedstock

5 allows a more efficient method of recycling the silicon tetrahalide by product, allows use of cheaper methods for the production of the hydrohalosilane and provides flexibility in balancing the overall product slate of a silicon purification facility.

10 This invention relates generally to the field of silicon purification, and more particularly to a machine for production of high purity silicon granules by the decomposition of a high purity silicon containing gas, such as silane, trichlorosilane or tribromosilane, which can be designed to optimize the overall efficiency of such a silicon purification process

15 The production of high purity electronic grade silicon is the critical first step of the entire multi-billion dollar semi-conductor industry. The basic process, used by most manufacturers consists of three steps; conversion of metallurgical grade silicon into a hydrohalosilane such as trichlorosilane, purification of this material by distillation and other means, and decomposition of the material back to silicon.

20 The Ethyl process, directly reduces silicon tetrafluoride to silane with a byproduct of aluminum trifluoride.

25 The decomposition reactors are all rod reactors except for fluid bed reactors operated on silane as part of the Ethyl Process. Fluid bed reactors have significant capital, operating and energy advantages but have proved difficult to implement. The only operating fluid bed units produce a dusty product contaminated with hydrogen that is not widely accepted.

30 There are two decomposition reactions for hydrohalosilanes; thermal decomposition and hydrogen reduction. (Trichlorosilane is used in the examples but bromine or iodine can be substituted for chlorine, fluorine cannot)

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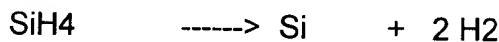
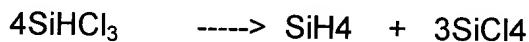


All halosilane reactors incorporate both and consequently produce an effluent, which has a range of silicon hydrohalides and tetrahalides and hydrogen halides and hydrogen.

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The essence of the process is impure silicon in, pure silicon out plus small impurity streams. To accomplish this there are large recycle streams of hydrogen, silicon and halide containing streams and is important not to produce low value by-products or waste streams. Union Carbide developed an approach of producing silane by disproportionation then decomposing the silane

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It can be seen that the overall reaction is the same as the thermal decomposition reaction.

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The major use for the polycrystalline silicon is in production of single crystal silicon via melting and growth of single crystal silicon boules in Czochralski crystal pullers. Such pullers have specific requirements with regard to feeding the granules (also known as beads), contamination, ease of melting etc. which must be met in order to use silicon beads. Kajimoto et al documents some of these issues in US Patent No. 5,037,503.

The purity requirements for electronic grade silicon are severe with specifications for hydrogen at about 30-50ppma, oxygen at 0.5-1.5 ppma and carbon at 0.1-0.25 ppma with specifications for donors such as boron, phosphorus and aluminum in the ppba and metals in the ppta. Thus all materials

5 which come in contact with the silicon must be virtually free of metals and donors and have very small amounts of oxygen, hydrogen and carbon which are transferable to the silicon. Historically such specifications have progressively tightened and this trend can be expected to continue. Other trends in the industry are to larger and larger wafer diameters with the current transition from

10 200 mm to 300 mm wafers being underway. This trend has led to the need to pull larger and larger diameter crystals which in turn leads to the desire to add silicon to the crystal growing furnace while the crystal is being pulled. This can be done conveniently with silicon granules which melt easily and are very pure and hence there is a need for such high purity granules.

15 A further historical trend is the decreasing availability of cheap hydro-electric power which has been the prime source of energy for the very inefficient rod reactors which leads to the increasing need to improve energy efficiency in the deposition process.

Because of the lower energy, capital cost and operating cost of fluid bed reactors much work has been done to develop this technology but the problem of meeting the above ever tighter purity specifications is more acute with the use of fluid bed reactors because they are more susceptible to materials problems as the silicon product is in physical contact with the wall, which thus must be at or close to the deposition temperature. This requires hot walls in contrast to the rod reactors,

20 which typically have cooled walls. Furthermore fluid bed reactors do not have the internal heat generation provided by the electrical heating of the rod in rod reactors and so must add heat in some other way. If this heat is added through the walls, the walls must be hotter than the silicon product. A further problem is that the materials coming into the reactor can only be preheated to a temperature

25 below their thermal decomposition temperature which is 350-450 C for most

5 feedstock materials. For high throughput fluid bed reactors putting in the additional heat to bring the temperature up to the desired decomposition temperature of greater than 800 C is very difficult. The major operational problem is sintering of the beads in the reactor and the resultant plugging of the reactor, the major purity problems are metals, carbon, oxygen and hydrogen in
10 the bulk and surface of the product and the major problem in feeding beads to the crystal puller is difficulty in controlling the bead flow due to variation in shape and size.

15 The sintering appears to be more prevalent as the temperature, deposition rate, silicon containing gas concentration and bead size increases and less prevalent as the fluidizing gas flow rate increases. Hence a violently fluidized bed will have a lower tendency to sinter but may tend to blow over more dust and will require more heat.

20 It has been accepted that it is important to have a reactor that does not contaminate the product and that the use of metal reactors is not feasible, see Ling U.S. Pat. No 3,012,861 and Ingle U.S. Pat. No. 4,416,913 and hence metal contamination can be resolved by not contacting the beads with any metal parts. Similarly contact with carbon or carbon containing materials leads to carbon contamination so graphite or silicon carbide parts are usually coated with silicon, carbon can also come in through contaminants in the inlet gases such as carbon
25 monoxide, carbon dioxide and methane. Oxygen normally comes in through oxygen containing compounds such as water, carbon monoxide and carbon dioxide in the inlet gases and hence all carbon and oxygen containing compounds are removed from the gas streams to as great a degree as is practicable. Oxygen containing materials such as silicon oxide (quartz) are

5 frequently used as containment materials, see Ingle above, and can be used in contact with silicon although care must be taken to prevent erosion.

Hydrogen contamination is primarily caused during the deposition process when hydrogen remains trapped in the bead. This is a time, temperature and deposition rate dependent process which has been described by A. M. Beers et

10 al "CVD Silicon Structures Formed by Amorphous and Crystalline Growth," Journal of Crystal Growth, 64. (1983) 563-571. For rapid deposition rates of the order of 2-3 micron/minute which are desired in commercial reactors the silicon surface temperature must exceed 800 C. Typical rod reactors usually operate above this temperature as do halosilane based fluid bed reactors and thus such reactors do not suffer from this problem. The current silane based commercial fluid bed reactors operate below this temperature in at least part of the reactor and consequently have dusting problems see Gautreaux and Allen US Patent # 15 4,784,840 and require a second dehydrogenation step as described by Allen in US Patent # 5,242,671.

20 The problem of size and shape is not as important but most polycrystalline consumers would prefer large round beads because they flow better and have less surface area, thus less risk of contamination. Large beads require more gas flow to fluidize and hence more heat to bring said gas up to operating temperature.

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US Patent 4,092,446 by Padovani describes an optimized system using a fluid bed and extensive recycle of materials. US Patents 5,798,137 and 5,810,934 by Lord describe a fluid bed capable of operating with or without recycle on a variety of feedstock. Various fluid bed patents describe methods of operating and of

30 heating. US Patent 5,374,413 by Kim et al. describe use of two feed streams

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5 one of which is used to prevent wall deposition which would block the passage of
the microwaves used for heating the beads. There has been much effort to use
silane in a fluid bed because it was more concentrated and gave more silicon per
mole of feedstock, unfortunately it has proved too prone to sintering at high
concentrations and thus all silane fluid bed reactors operate at high dilution rates
10 which negates the benefit of the concentration. Thus a process penalty is paid in
producing the silane and an additional penalty is paid in providing high purity
diluent.

15 All these systems take the effluent from the decomposition reactor as it is
cooled down and removed from the reactor and then separate and recycle the
components. and significant effort goes into the recycle process but most prior
reactor designs ignore the issue with the exception of Padovani in US Patent
20 4,207360 where he selects a high temperature, 1100 C, to convert the silicon
tetrachloride to silicon and thus uses a graphite brick lining coated with silicon
carbide. Unfortunately this material contaminated the silicon produced with
carbon and thus the process failed commercially as the silicon could not sold.
The above patents by Lord neglect the system integration issue except to
25 suggest that the halogen used to etch the reactor be one that matches the
halogens used in the process and Kim also neglects the system integration
issue. Instead both point out that the use of silicon oxide is preferred because of
its purity and cost and expend a great deal of effort on providing the heat to the
reactor in a way which will reduce wall deposits . Kim suggest using microwaves
and Lord suggests use of laser and/or chlorine heating in conjunction with
microwaves. Heating the reactor up to the even higher temperatures needed to
30 convert silicon tetrahalides make this problem even worse and the attempt is not

5 made. Operating on silane at lower temperatures (600-700 C) as is done in US
Patent 4,784,840 requires low deposition rates and results in dusty product
contaminated with hydrogen thus requiring post treatment as described in US
Patent 5,242,671.

10 Other attempts to provide reactor heat include Van Slooten in US Patent
4,992,245 who describes an annular heated fluidized bed operating on silane
where the beads enter the heating zone annulus at the top and exit at the bottom
back to the reactor and Iya in US patent 4,818,495 who describes a reactor with
an upper heating zone and a lower reacting zone with a cooled gas distribution
zone.

15 Other aspects of reactor design that has received attention are the related
problems of managing the size distribution of granules in the reactor, providing
new seed particles and selectively removing large particles. Ingle U.S. Pat. No.

20 4,416,913 described a circulating bed that would selectively remove larger
particles and Iya (U.S. pat No. 4,424,199) described a boot device for the same
purpose. Padovani described using two temperature zones to increase the
natural attrition of the granules at lower temperature. Lord described in more
detail a method for segregation using a tapered bed and how the attrition was
related to the kinetic power of the incoming jet. Iya in US patent 4,424,199
25 describes a fluid jet seed particle generator inserted in a "hydrogen boot" located
below the reactor, which was intended to separate the small seed particles from
the product.

Recovery of heat from the effluent has not received much attention but Lord
described a method for recovering heat from the outgoing beads by a heat
30 exchanger, which heated up the incoming silane.

5 The primary overall system deficiency in the prior technology is that it neglects
the opportunities in the temperature regime between the deposition temperature,
which is typically between 750 and 1150 C, and the condensation temperature of
the halosilanes in the effluent, which are typically below room temperature. The
effluent gases are allowed to cool slowly and continue to react through this large
10 temperature range thus producing more of the undesired silicon tetrahalide and
condensation and polymerization of silicon dichloride SiCl_2 monomer on the walls
of the effluent piping to form explosive solids such as Si_2Cl_6 , Si_3Cl_8 and Si_4Cl_8 .
with no effort made to adjust the equilibrium conditions or to quench the reaction.

In this range the species in the effluent change composition with
15 temperature and there is always an optimum temperature for recovery of the
desired components, which is typically 800-1000 C. At this temperature the
desired hydrohalosilanes such as trichlorosilane and dichlorosilane are at or near
a maximum and thus can be recovered which has great impact on the overall
silicon and chlorine balance. Addition of the undesirable silicon tetrahalide and
20 hydrogen pushes the equilibrium in the direction of the desired hydrohalosilanes
but the reactors must operate hotter and with greater hydrogen recycle to
convert the undesired silicon tetrachloride. This in turn results in lower silicon
production, more difficult materials problems, greater energy requirements, more
25 production of SiCl_2 and SiCl_3 monomer and consequent formation of explosive
solids.

One approach to resolving the said problem of explosive solids is the injection of
chlorine or hydrogen chloride in the effluent piping as suggested by Lord. This
technique resolves this problem but generates silicon tetrachloride which is not a
desirable product. Another approach is to operate at lower temperature e.g. 800-
30 900 C where the formation of SiCl_2 and SiCl_3 monomer is reduced but this lowers

5 silicon production and prevents recycle of silicon tetrachloride in the reactor. This
neglect of the overall system optimization issue in the reactor design means that
even reactors that are functionally capable of making granular silicon will not
necessarily reap the full benefits anticipated. This particularly in the case where it
is desired to replace trichlorosilane based rod reactors, which consume silicon
10 tetrachloride with fluid bed reactors, which do not. This will mean a whole
redesign of the facility to accommodate the recycle of the silicon tetrachloride
and to generate additional trichlorosilane, which will seriously impact the
economics.

15 A major deficiency of the prior technology in the design of the reactors
themselves is in resolving the multiple issues, which surround the supply of heat
to fluidized beds for use as silicon deposition reactors. One standard way to heat
a fluidized bed is through the walls because the heat transfer from the wall to the
particles is very good and wall heaters can be easily and cheaply built using
20 electric heating coils. Another standard way is to preheat the gas reactants. A
further standard approach is to recover heat from both the solid and gaseous
effluent of the reactor by means of heat exchange. A yet further standard
approach is to recycle unused reactant and or carrier gas. In a silicon deposition
reactor there are problems facing all of these approaches. If the wall is heated
25 then it is by definition hotter than the bed particles and hence more likely to be
deposited on as the reaction rate is strongly influenced by temperature. Hence
a hot wall causes wall deposits which are a loss of product, increase the
resistance to heat transfer through the wall and can cause breakage of the
reactor on cool-down due to differential thermal expansion. There is also the
30 problem that the heat load is localized to the inlet area where the incoming gases

5 are heated up to reaction temperature. Thus hot beads may be present in the reactor but unable to circulate down to the inlet zone fast enough to provide sufficient heat.

10 Heating the gas reactants is restricted by the thermal decomposition of the silicon bearing gases at around 350-400 C. Thus the gases cannot be heated above this temperature without depositing in the heater or in the inlet to the reactor. This problem is further compounded by heat conducted back into the inlet from hot beads located just above the inlet of the silicon bearing gases. The 15 surface temperature of these beads should be over 800 C to prevent hydrogen contamination, hence there is a high temperature gradient between the beads at 800 C and the inlet which needs to be below the thermal decomposition temperature of the silicon containing gases which is 350-400 C. Recovery of heat is difficult because of the tendency of the silicon containing gas to form wall 20 deposits which in turn means the wall temperature must be below 350 C which is difficult when cooling gases or solids which are at 800 C or greater. Recycle of unused reactants or carrier gas is also difficult for the same decomposition reason. The recycle gas must be cooled to below the thermal decomposition temperature of the silicon containing gases before mixing with them.

25 Thus the prior technology has attempted to deal with the heating issue in a variety of ways. Ingle, U.S. Pat. No. 4,416,913 noted the use of microwaves to heat the silicon beads directly through the quartz wall which itself is not heated by microwaves. Poong et al. in U.S. Pat. No. 4,900,411 advises using microwaves and notes the need to cool the wall and the distributor grid in order 30 to prevent silicon deposits, which can then absorb the microwaves. Iya in U.S.

5 Pat. No. 4,818495 also suggests cooling the distributor grid and providing a heating zone above the reacting zone to compensate. Kim et al in U.S. Pat. No. 5,374,413 notes that cooling of the wall is not effective in preventing wall deposits and greatly increases power consumption and suggests a partition between the reacting and heating zone. Ingle see above and Van Slooten in U.S.

10 Pat have also suggested partitions. No. 4,992,245. Neither Iya in US Patent # 4,818,495 nor Van Slooten in US Patent # 4,992,245 provided means for the heated beads to travel down to the reacting area in sufficient quantity to heat the incoming gases and offset the distributor cooling.. Lord in U.S. Pat. No. 5,798,137 suggests use of "jet heating" where lasers are used to heat through the inlet jet itself or chlorine is added to react with silane in the jet region. Lord in US Patent 5,810,934, also suggests using an isolation tube between the inner tube containing the silicon containing gases and the outer tube containing the hot beads in order to control the wall temperature of the inner tube below the decomposition temperature. This suffers from the two disadvantages of reducing the heat transfer and the heat transfer rate. Hence only a portion of the available heat can be recovered thus requiring additional bead cooling and the surface area must be larger than would be required other wise. Lord in fact recognizes this and provides an alternate approach using a water-cooled bead cooler.

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25 All the prior technology makes provision for dilution of the silicon bearing gas before the mixture is fed to the reactor stream and so the inlet gas temperature is still limited by the decomposition temperature of the silicon bearing gas which is typically around 350 C. Kim and Van Slooten also make provision for a separate entry for a carrier gas into the heating zone, which is separated from the reaction

30 zone by a partition, and they claim this gas may be heated up to the reaction

5 temperature although in their examples the actual temperature is below that. In
Van Slooten's example the inlet gas is 500 C compared to reactor temperatures
of 650 C at the top and 550 C and a heating zone temperature of 660C. In Kim's
examples the carrier gas preheat temperature was 250 C and 350 C. The prior
technology had difficulty in reaching the required high temperatures, greater than
10 800C, without contaminating the product or plugging the reactor. These high
temperatures are needed, particularly at the gas inlet, for production of hydrogen
and dust free product. A critical deficiency of the prior technology, with the
exception of Lord in US Patents # 5,798,137 and 5,810,934, is the failure to
15 recognize the importance of the need to maintain high temperatures according to
the experimental data and theoretical relationships in the article of A. M. Beers et
al "CVD Silicon Structures Formed by Amorphous and Crystalline Growth,"
Journal of Crystal Growth, 64. (1983) 563-571. This article details the
relationship of temperature, time and deposition rate with higher deposition rates
requiring higher temperatures and times in order to crystallize the deposited
20 amorphous silicon and release the codeposited hydrogen.

In the prior technology the inlet area has the most serious problems in product
quality because of a combination of factors all of which tend to prevent the
needed crystallization to produce polycrystalline silicon and remove hydrogen
25 and/or other codeposited elements such as halogens. This area has the highest
silicon bearing gas concentrations, the lowest temperatures and the least post
deposit time for the beads. The deposit rates tend to be highest at the inlet
because of the high silicon containing gas concentrations and the rapid
decomposition of the silicon bearing gases once the temperature is above 500 C.
30 The temperatures are lower because the incoming gases are cold and cool the

5 beads near the inlet as the gases warm up. Finally the beads are removed at or
near the bottom of the reactor which is also the inlet for the gases thus the beads
removed have just been deposited on and hence have little time to crystallize the
recent deposits and dehydrogenate. Of these factors the most important one is
the temperature because the crystallization rate is strongly dependent on
10 temperature. Frequently the prior technology aggravates this problem by cooling
the distributor grid. Thus in the prior technology most of the reaction and
deposition occurs near the inlet and much of this deposit is unsuitable because of
its powdery nature and high hydrogen content. Iya in U.S. Pat. No. 4,818,495
shows a temperature profile where the zone just above the grid is at 500 C and
15 the top of the bed is at 770C. Hence the product would be very dusty and
contaminated with hydrogen.

Similarly in Van Slooten U.S. Patent No. 4,992,245 the distributor surface is
cooled to a temperature between 200-400 C and he states in his example that
20 the temperature at the top of the fluidized bed is 923 K (700 C) and at the bottom
is 823 K (600 C). Again the product would be dusty and contaminated with
hydrogen. Kim has the reactive gas distributor cooled to 318 C in his example 2
and has a CVD reaction temperature of 930 C. Since the partition isolates the
reaction zone from the heating zone and is half the bed height the beads next to
25 the reactive gas inlet are much colder than the upper part of the reactor as the
hot beads from the heater section do not mix with them. These beads are
primarily heated through the quartz partition which itself is deposited on by the
silicon containing gases in the reaction zone. This silicon wall deposit will be
hotter than the beads in the reaction zone and will thus grow at a more rapid rate.

30 The reactor described in the Van Slooten U.S. Patent No. 4,992,245 also faces
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5 this problem of wall deposit on the partition. It is apparent that the provision of a partition does not avoid the problem of wall deposits it merely relocates them to the partition. Thus the requirement for a partition is an additional deficiency in the prior technology. The provision of a partition can help the bead quality if the beads are removed from the heating zone of the partitioned reactor since the
10 beads have more time at a higher temperature without any deposition.

Unfortunately such post deposition crystallization and dehydrogenation suffers from the problem that the hydrogen must diffuse out through the complete deposit thickness and this can take several hours or days as shown by Allen in US Patent # 5,242,671. This amount of time is usually not available as a practical matter since it requires a significantly larger reactor and also higher temperatures (1000-1100 C).
15

Lord in U.S. Pat. No. 5,798,137 recognizes the need to remove hydrogen as the deposition occurs in order to minimize the distance the hydrogen has to diffuse out and provides localized "jet heating" at the inlet with lasers and or chlorine. The major deficiencies of this approach are that laser heating is expensive and inefficient and the equipment is high maintenance and chlorine heating is expensive, reduces yield and contributes contaminants. A further deficiency is that success in raising the inlet zone temperature means more heat is conducted
20 back to the inlet, which is not cooled, and thus causes wall deposits within the inlet.
25

The provision of a partition requires a carrier gas to fluidize the beads on the heating side of the partition. Since this is not a reactive gas it can be heated
30 above the decomposition temperature of the silicon bearing gas and both Kim
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5 and Van Slooten claim this feature in their patents. However the sensible heat of
the carrier gas is not used to directly heat the reacting beads and neither Van
Slooten nor Kim claim the possibility of heating the carrier gas above the reaction
temperature. In the example by Kim the carrier gas is 4.0 mole/min of hydrogen
at 250 C and the reactive gas is 3.1 mole/min of trichlorosilane and 6.0 mol/min
10 of hydrogen at 100 C. Neither temperature is above the decomposition
temperature of TCS (350 C) or remotely close to the stated CVD reaction
temperature of 930 C. In fact more hydrogen is used as a diluent in the reactive
gas than is used as "heated" carrier gas. The CVD reaction temperature of 930
C is low for trichlorosilane deposition by the hydrogen reduction reaction and will
15 result in lower yield of silicon as is shown in example 1 where the TCS feed is
0.35 mol/min and the silicon deposition rate is 1104 grams over ten hours which
calculates to 1.85 grams/min or .066 mol/min. This is a yield of 18.8% of the
silicon in the TCS. The preferred temperature for hydrogen reduction is above
20 1000 C and preferably 1100-1250 C as noted in Padovani U.S. Patent No.
4,207,360. Obtaining such temperatures required use of high temperature
materials such as silicon carbide coated graphite walls, which could operate
significantly hotter than the beads. Unfortunately this approach causes carbon
contamination of the silicon making it unusable. The source of the contamination
is primarily a reversible gas phase reaction;



Silicon Carbide + Hydrogen \rightleftharpoons Silicon + Methane

The methane gas is formed at the silicon carbide walls and mixes in with the
silicon beads where it decomposes to form silicon carbide thus contaminating the
beads. At such high temperatures the silicon carbide diffuses rapidly through the
30 silicon wall deposit to continually replenish the surface.

Thus the approaches taken by the prior technology to heat the reactor suffer from an inability to obtain the desired high temperatures in the inlet region and/or required for high silicon yield without forming severe reactor or partition wall deposits, plugging the inlet or distribution means, resorting to expensive, exotic and unreliable heating means or contaminating the product. A further deficiency of most of the prior technology is its failure to provide sufficient post deposition time at temperature to complete the crystallization and dehydrogenation of the product needed to produce low dust and hydrogen content silicon beads.

15 A further major deficiency in the prior technology has been the problem of sintering of the granules into large lumps which can occur on the flow distributor or grid, on the wall or in the bulk of the bed. The sintering appears to be more prevalent as the temperature; deposition rate, silicon containing gas concentration and bead size increases and less prevalent as the fluidizing gas flow rate increases. Hence a violently fluidized bed will have a lower tendency to sinter but may tend to blow over more dust and will require more heat. Efforts to control the problem at the distributor have included cooling it as described by Iya in U.S. Pat. No. 4,684,513 and Poong in U.S. Pat. No. 4,900,411. Such efforts have led to large thermal inefficiencies and to problems with dust formation and 20 hydrogen contamination. Lord in the above patents claims that avoiding internals of any kind eliminates the problem of plugging the internals and suggests a single jet. Unfortunately a single jet tends to form a channel through the bed and thus the sintering occurs around the jet with the result the bed itself plugs. The prior technology did not recognize the inherent contradiction in the technology; it 25 is desired to grow particles without them sticking together. The majority of the U.S. Patent Application of S. M. Lord – Page 19

5 growth mechanism is the sticking of small micro- particles to the large granules of
the bed and the granules must be sticky in order for the micro particles to attach.
Lord describes at length the mechanisms involved and discusses the Tamman
temperature limit below which the particles are not sticky yet fails to consider the
inherent contradiction of assuming the micro particles will stick to the granules
10 but the granules will not stick to each other even when covered with sticky micro
particles. Thus the cooling of the grid can be seen to be effective because the
particles are no longer sticky and inherently the granules will be dusty as the dust
has not adhered. The observation that high velocities and low feedstock
concentrations improves the situation indicates that there is the possibility of
15 operation in a regime where the granules are sticky but can be unstuck from
each other when sufficient energy is provided and there is not a huge excess of
sticky microparticles. The prior technology did not address this issue but relied
on the use of the experimentally observed benefit of high velocities and low
silicon containing gas concentrations which compounded the heating and
20 contamination problems of the prior reactors and increased their size and cost
because the reactors are larger and there is more external equipment for recycle
of the diluent gases.

25 A yet further deficiency linked to the stickiness of the particles is the failure of the
prior technology to effectively segregate out the larger granules for removal as
product. Ingle in US Pat. No. 4,416,913 and Iya in U.S. Pat. No. 4,424,199
describe attempts to segregate the particles in a dilute phase and Lord in U.S.
Pat. No. 5,798,137 describes segregation in a dense phase using a tapered
reactor. Both were moderately successful in dry runs without silicon containing
30 gases but not successful with operation with silicon containing gases, because
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5 when the silicon containing gases are present the beads are stickier and more violent bed action is required which tends to mix the bed rather than segregating it. This deficiency is also related to the requirement for seed particle generation since removal of only large granules means far fewer particles are removed and hence far fewer seed particles need to be generated. This deficiency is
10 particularly aggravated when the seed particles are generated at the same location that the product is removed as is the case for both Iya and Lord above since the newly generated seeds are also removed.

Another deficiency of the prior technology is in the appearance of the granules. The granules produced by the prior technology tend to be dusty, dull and misshapen compared to the customers preference for dust free, shiny and round granules. Gautreaux in U.S. Pat. No. 4, 784,840 recognized the need for less dusty granules and provides a reactor with two modes of operation, high deposition and high dust followed by low deposition and low dust to seal in the dust from the previous operation. This is still carried out at low temperature 620-650 C and merely reduces the dust. Lord in U.S. Pat. No. 5,798,137 describes the mechanism which tends to cause the formation of round beads and its dependence on Reynolds number, unfortunately the required conditions are very difficult to meet for a silane based reactor unless 100% silane is used and the
20 beads are very large. Lord also describes a laser surface annealing techniques which flash melts the surface to provide a shiny finish. The problem with such a technique is applying it evenly to all the surface of a large number of particles and the cost and difficulty of operation of the laser based system.

5 While the prior technology has usually recognized one or more of the problems
involved in the design of fluid beds for silicon deposition none of the prior
technology has been able to resolve the design problems without compromising
one or more aspects and as a consequence the only commercially functioning
fluid bed system, which is designed according to the patents of Allen and
10 Gautreaux, produces a very dusty product that requires additional treatment
steps before it can meet specifications and has only a limited market because of
the problems of using a dusty material. Other designs have failed to produce a
material that meets specifications or have been unable to operate because of
sintering or plugging. Finally designs that may be capable of producing
15 specification product and operating successfully tend to use expensive heating
methods such as lasers or microwaves and in the cases of trichlorosilane based
reactors may not be usable without extensive redesign and construction of the
trichlorosilane production facilities. In contrast the proposed technology has
resolved the design problems without compromising any major aspects, without
20 using expensive heating techniques or excessive external facilities for recycle
and can be used in an existing trichlorosilane facility with only minor changes
when used with the optional feedstock recovery system.

25

Summary of the Invention

The primary object of the invention is to provide a design for a fluidized
bed reactor which make high purity silicon granules in a single reactor which will
be safe and easy to operate and commercially viable with a variety of feedstocks
30 and optimized for the overall system efficiency.

5 Another object of the invention is to make low cost granules.
Another object of the invention is to use a low cost reactor.
A further object of the invention is to have low operating costs.
Yet another object of the invention is to make very large granules.
Still yet another object of the invention is to make round granules.
10 Another object of the invention is to make shiny granules.
Another object of the invention is to be able to scale up easily.
Still yet another object of the invention is to minimize external support
equipment.
Another object of the invention is to maximize silicon yield from feedstock.
15
Other objects and advantages of the present invention will become
apparent from the following descriptions, taken in connection with the
accompanying drawings, wherein, by way of illustration and example, an
embodiment of the present invention is disclosed.
20
A Machine for Production of Granular Silicon comprising separate injection
of silicon containing gases and non silicon containing gases, heating the non
silicon containing gases above the reaction temperature, cooling each injection
location of the silicon containing gases, and provision of one or more stages with
25 each stage having a heating section located below a reacting section and a
mechanism that pulses granules back and forth between the heating and
reacting sections.

Where there are multiple stages each reactor section has one or more injection
30 nozzles for gases which promote additional reaction, in the silane reactor the gas
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5 to the reaction section would be silane, for the hydrohalosilane, e.g. trichlorosilane or tribromosilane, reactor the gas to the reactor section could be the hydrohalosilane alone, ultra high purity hydrogen alone or a combination of the two.

10 Heat is recovered from the granules by direct contact with a high purity non silicon depositing or reacting gases: such gases can be hydrogen, helium, argon, nitrogen, silicon tetrachloride and silicon tetrabromide and must be low in carbon and oxygen containing contaminants, such as oxygen, water, carbon monoxide, carbon dioxide and methane, which contaminants must be below 1 ppmwt and preferably below 10ppbwt. Gases such as silicon trichlorosilane and silane are not usable because they decompose, hydrogen chloride, hydrogen bromide or mixtures of gases, which react such as a silicon tetrachloride, and hydrogen mixture are not usable because they can react with the granules.

15

20 The heat exchanger in which the silicon containing gases are heated avoids overheating the wall by using hot liquid or condensing vapor maintained within a temperature range which cannot cause decomposition of the gases, which temperature range is typically between 200-400 C but more particularly between 300-350 C.

25

30 The sieving device by which silicon granules are sieved uses one or more sieves manufactured from non contaminating sieve material and the undersized granules are returned to the reactor and the noncontaminating sieve material is selected from materials which contain silicon such as single crystal silicon, polycrystalline silicon, silicon oxide, silicon nitride, silicon oxynitride and silicon

5 carbide and where the abradable surfaces are low in contaminants such as boron, phosphorus, aluminum, arsenic, iron, copper and other metals, such contaminants will typically be below 1000 ppmwt and preferably below 100 ppmwt

10 When used with a hydrohalosilane feedstock such as trichlorosilane it is preferred to use the optional feedstock recovery section, where a silicon quadrahalide such as silicon tetrachloride or silicon tetrabromide is injected, mixed with the reactor effluent then quenched at an optimal temperature (850-950 C) to recover the silicon hydrohalides such as trichlorosilane and dichlorosilane.

15

20 Joints between external equipment and the reactor, which transmit hot gases or solids, are cooled using one or more microchannels positioned and localized to cool the elastomeric O-ring to a temperature such that decomposition of the O-ring or increased permeability of the o-ring to oxygen ,water and carbon dioxide does not cause significant contamination or excessive heat loss , such temperature is typically 25-300 C and preferably 50-150 C for o-rings made from high purity fluorocarbon o-rings such as Viton, Kalrez and Teflon.

25 External flow control of each injection point is preferred and such flow control may be direct with flow control of each nozzle done independently, indirect by means of a flow distribution device such as a manifold or a combination of the two where some nozzles are ganged in groups

5 The shape of the pulse and/or the distribution of flow between nozzles may be
adjusted to control the generation of new particles without changing the total flow

It is preferred that the flow of gas to each nozzle is controlled before the heater/s
and an even more preferred option is where multiple separate flows are heated in
10 the same heater

In a preferred combination for the use of silane as a feedstock there are two or
more stages, high purity hydrogen is used for the non silicon containing gas to
the first heating section, for the cooling of the granular silicon and for return of
15 undersize granules to the reactor, the sieving device is made from polycrystalline
silicon, the feedstock recovery system is not used, cooled joints are used for all
the inlets and outlets of the reactor, the silane heat exchanger uses a condensing
vapor maintained in the temperature range 340-360 C and each gas injection
location is independently controlled.

20 In a preferred combination of the above claims for the use of trichlorosilane
and/or dichlorosilane as a feedstock, where there are, two or more stages, high
purity hydrogen is used for the non silicon containing gas to the first heating
section and to the second reacting section, for the cooling of the granular silicon
25 and for return of undersize granules to the reactor, the sieving device is made
from polycrystalline silicon, the feedstock recovery system is used and silicon
tetrachloride is injected to cool the effluent from 1100 C to 900 C and recover
hydrohalosilanes for recycle , cooled joints are used for all the inlets and outlets
of the reactor, the chlorosilane heat exchanger uses a condensing vapor

5 maintained in the temperature range 340-360 C and each gas injection location
is independently controlled

In a preferred variation the heater section is of smaller diameter than the reactor
section above it and connected by a tapered section, angle of said tapered
10 section to be between 10 and 80 degrees from the vertical and preferably
between 30-60 degrees from the vertical

15 The heaters used in the heating sections may be resistance heaters, inductive
RF heaters, microwave heaters, lamp heaters or lasers but are preferably
resistance heaters.

A high efficiency cyclone is used to remove dust from the effluent gases

20 A silicon etching gas or mixture of gases may be injected through one or more
nozzles for the purpose of etching wall deposits from all or part of the reactor,
such gases may be elemental halides such as chlorine or bromine, hydrogen
halides such as hydrogen chloride or hydrogen bromide or combinations of
hydrogen and silicon tetrahalides such as silicon tetrachloride or silicon
25 tetrabromide

30 The reactor is supported upon a weigh cell, capable of weighing the reactor and
contents and measuring the intermittent force exerted by the pulsing gas and the
connections to and from the reactor are flexible enough to allow the slight
deflection required by the weigh cell, said deflection to be less than 1mm and
preferably less than 0.5mm, and the thermal expansion of the reactor relative to
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5 the support structure, said thermal expansion to be less than 1" (25mm) and
preferably less than 1/4" (6mm)

A variation on the design is where all or a portion of the non silicon containing
gases are heated to a temperature below the reaction temperature outside the
10 heating section then heated to a temperature above the reactor temperature
inside the heater prior to entry to the reactor section

In a yet further variation the second stage heater section does contain some
residual silicon dust or silicon containing gases from the first stage reactor
section that can form a wall deposit

15

The drawings constitute a part of this specification and include exemplary
embodiments to the invention, which may be embodied in various forms. It is to
be understood that in some instances various aspects of the invention may be
20 shown exaggerated or enlarged to facilitate an understanding of the invention.

25

Brief Description of the Drawings

10

Figure 1a shows the basic way in which the inventions may be combined in a machine for the production of granular silicon.

Figure 1b shows how multiple tubes and heaters may be used in the heating section of a larger reactor

15 Figure 2 shows a more detailed schematic of a preferred method to design a silane fluid bed reactor using dual stage injection of silane.

Figure 3a shows a more detailed schematic of a preferred method to heat a trichlorosilane fluid bed reactor using a second stage with injection of hot hydrogen gas and TCS and DCS recovery.

20 Figure 3b is a schematic diagram illustrating one way in which the concepts of the invention for recovery of TCS and DCS can be combined into a complete reactor system.

Figure 4a is a detailed drawing of a water-cooled nozzle.

25 Figure 4b shows the details of the fabrication of the nozzle at the ball joint and tip

Figure 5 shows a layout of the silicon containing gas heater using heat transfer fluid.

Figure 6a shows a schematic of the bead removal and sieving system

30 Figure 6b shows an alternative design of the sieving system.

Detailed Description of the Preferred Embodiments

Detailed descriptions of the preferred embodiment are provided herein. It is to be understood, however, that the present invention may be embodied in various forms. Therefore, specific details disclosed herein are not to be

10 interpreted as limiting, but rather as a basis for the claims and as a representative basis for teaching one skilled in the art to employ the present invention in virtually any appropriately detailed system, structure or manner. In order to produce high purity silicon suitable for use in semiconductor or photo voltaic applications it is necessary to form and purify a liquid or gaseous silicon containing material then decompose that material back to solid silicon.

15 Processes to do this have been patented using materials that contain silicon and hydrogen and/or a halogen such as chlorine, bromine or iodine. Such materials include silane, trichlorosilane, dichlorosilane, silicon tetrachloride, tribromosilane etc. It is also common to include a diluent, which may partake in the reaction such as hydrogen or be inert such as argon. It is most desirable to recover the material in a convenient pellet or granular form and to have a low cost energy efficient conversion process and to convert as much of the feedstock as possible to usable product. Many patents have identified fluid beds as the appropriate technology to accomplish these aims. Implementation of the fluid bed technology
20 has proved difficult and one of the most difficult problems has been providing sufficient heat at the inlet of the reactor. The heating problem has led to compromises in other areas notably purity and feedstock conversion.

25 Lack of heat when using silane leads to hydrogen contamination of the product

30 and a dusty product that is not very usable although most of the silane is

5 converted. Lack of heat when using trichlorosilane, or other halogen containing
feedstocks, leads to poor feedstock conversion. Attempts to increase the
feedstock conversion require higher temperatures and lead to contamination
from high temperature materials of construction such as graphite or silicon
carbide, operating with low feedstock conversion leads to increased cost in
10 feedstock production and byproduct disposal or use of more expensive
production methods which use higher pressures and temperatures to recycle the
byproducts.

15 Another major problem has been sintering of the granules either at the reactor
entry or in the body of the bed of particles. Methods of dealing with this problem
have been cooling the beads at the entry, using low concentrations of the silicon
depositing gas and operating with very vigorous fluidization. Cooling the beads
at the entry exacerbates the inlet-heating problem discussed above as does
operating with vigorous fluidization. Using low concentrations of the silicon
20 depositing gas leads to the uses of large amounts of inerts and the consequent
problems of the higher capital and operating cost required to recycle, heat and
purify the inerts, which must be maintained exceptionally pure.

25 A further related problem has been controlling the particle size of the granules in
the reactor. It is desired to produce beads that are large and of a narrow size
distribution but the reactor fluidizes better with a wide size range and it is desired
to minimize contamination in the production of seed. Previous methods of
dealing have some or all of the following techniques; particle separation and
30 grinding of seed particles and reintroduction of the seed to the reactor and have
done them either internally or externally to the reactor. The problem with

5 external techniques has been the contamination and difficulty of control since
there is a long lag time (1-2 days) between introduction of small seed particles
and their growth to product size. Internal techniques have suffered from the
difficulty of separating large granules out and of generating sufficient seed.

10 This proposed machine for production of granular silicon incorporates several
novel features into its design in order to overcome the above problems without
the compromises inherent in the prior technology. The main novel features are;
separating the heating of the silicon feedstock gases from the non silicon
containing gases and heating the latter to a temperature above the reactor
temperature; heating the granules in a heater section and using the pulsing of the
gas flow to move the beads into and out of a reactor section; cooling only the
localized area around the silicon feedstock entry and minimizing said entries;
providing non-contaminating sieving and grinding techniques for online removal
of large product granules and online or offline grinding of seed granules; a
20 feedstock recovery subsystem which can recycle the byproducts to extinction
and providing multiple heater/reactor stages in one vessel.

Additional novel features are; heat recovery from granules by direct contact with
non silicon containing gases; external flow control of each injection point; heating
25 of the silicon containing gases by a liquid or vapor whose temperature is not high
enough to cause decomposition of the gases, measuring reactor weight and
pulsing force continuously and using one or more high efficiency cyclones to
removes small diameter dust.

5 Additional benefits of the design are that separating the heater and reactor section enables the use of cheap resistance heaters and of different diameters for the two sections, the pulsing action itself polishes the granules, recirculation and reinjection into the reactor of the undersize granules provides new seed particles.

10

In accordance with the present invention, Figure 1 shows a basic version of the invention incorporating several of the novel features in an integrated design that is suitable for production of granular silicon from any silicon containing gas(e.g. silane, dichlorosilane, tribromosilane or trichlorosilane). The recycle of solids is done using a pulsing technique where most of the hot granules in the heater zone are pushed up into the entry of the reactor by a pulse of gas into the bottom of the heater, Stream 5. At the end of the pulse, colder solids fall down by gravity into the heater for heating and recycle upwards during the next pulse. The pulsing of the beads and gas also provides additional agitation of the bed compared to the agitation provided by the equivalent continuous flow. Such agitation is particularly useful for silane-based systems, which are primarily limited by the related operational problems of agglomeration and plugging and have been limited to low silane concentrations in the feedstock. Thus the additional heat and agitation provided by pulsing can be used to increase the silicon feedstock flow, stream 1, and thus increase the silicon production (Stream 15). Additional streams typically provided for use in purging lines, initial fluidization, wall deposit etching and shutdown are not shown but are required as is usual for such reactors. Such streams include argon and/or nitrogen for purging and fluidization during startup and shutdown and an etching gas such as chlorine or hydrogen chloride for wall deposit etching at the end of the run prior to

5 shutdown. The reactor is supported on a weigh cell or cells, 17, which can
provide continuous information on the weight of the reactor and bed and the
force of the pulses. Since the pulses are regular and at a known frequency this
information can be separated from the longer term weight changes by standard
frequency analysis techniques. In order to provide accurate information the lines
10 connecting to the reactor must be flexible enough to avoid interfering with the
displacement of the weigh cell. Such flexibility is also required to prevent damage
to the quartz reactor and allow for differential thermal expansion. This flexibility
requirement is one reason for the use of the water-cooled ball joint connections
with elastomeric O-rings for the nozzle connections, which are shown in Fig 4a
15 &b

An example of silicon deposition using the design shown in Figure 1 is as follows:
A quartz vessel consisting of a bead and gas heater zone of 90 cm length and 5
cm in diameter and an insulated reaction zone of 600 cm length and 10 cm
20 diameter was loaded with a 240 cm bed of 850 micron average diameter silicon
beads. The three silane inlets for stream 1 were located 100 cm above the
bottom of the vessel. The reactor, effluent piping and cyclone are well insulated.
The reactor was brought up to 750-800 C using argon as a heating and fluidizing
gas through stream 5 with a small purge stream flow through stream 1. The
25 hydrogen preheater and the bead /gas heater were set at 900 C, the SCG vapor
temperature heater was set at 350 C. The upper wall heaters were set at 850 C.
The pulsing of the argon flow on streams 1, 2 and 5 was started at 0.25 cycle per
second in phase. A small cooling water flow of 20 ml/min was started to the
silane inlet cooling devices 8a, 8b, 8c (25 ml ea) to provide thermal isolation of
30 the inlet from the reactor temperature. The inlet water temperature was 35 C and

5 the outlet temperature was 57 C for a parasitic heat loss of 90 watts. A small
flow, 1SLM, of argon was started through stream 6 to the bead removal tube. A
small flow of water (100 ml/min) was started to the bead cooler through
stream11. Then high purity(less than 2ppmwt carbon oxides and less than
2ppmw methane) hydrogen was bled into all three streams 1,2&5 to displace the
10 argon with the recycle temporarily closed. Once the reactor was at temperature
and operating on hydrogen the hydrogen recovery unit, was started using
hydrogen, the temperature of the vapor in the silane heater for stream 1 was
controlled at 350 C and silane was slowly introduced. Pulsing continued at 0.25
cycles per second. Simultaneously the temperature of the hydrogen/bead heater
15 at the bottom of the reactor was increased to design conditions of 1000 C for the
heater temperature. At stable operating conditions the silane flow,1, and the
recycle hydrogen flow, 5, varied between 30-300 SLM to provide the pulsing and
were in phase with each other. The individual silane flows 1a, b & c each varied
between 10-100 SLM in phase. Average bed temperature was 860 C at the
20 bottom, dropping to 840 C at the top of the bed and 685 C at the top of the
reactor. Recycle gas from the cyclone was at 410 C and from the hydrogen
recovery unit was 100 C. The bed was observed to be slugging vigorously at the
pulsing cycle of 0.25 cycle/sec and some dust was carried over into the cyclone
25 but pressure drop was stable during the run.

26 Beads were removed by adjusting the hydrogen flow and pulsing cycle of stream
2. As a pulse of beads left the bead cooler and went into the silicon sieve, beads
from the reactor fell into the bead cooler. The beads going in to the silicon sieve
spread out and either fell through the sieve or were retained and exited as the
30 product stream 15. The beads falling through the sieve were moved by the

5 pulsing of stream 3 back into the reactor. The pulsing of stream 3 also assisted
in preventing blinding of the sieve. Initially the majority of the beads were
smaller than the sieve opening of 1.2 mm and so were recycled. At stable
operation the bead removal rate of stream 15 was 13.5 Kg/hr and the bead exit
temperature was 80 C. As the bead removal rate was increased the flow of
10 hydrogen through stream 2 was increased and the flow through the hydrogen
preheater was decreased while maintaining the total flow of 30-300 SLM. The
flow of stream 3 varied between 10-100 SLM. Thin wall deposits were formed
but could be etched off easily at the end of the run. After the run the mass
balance showed the deposited silicon was about 95% of the theoretical yield and
15 no major agglomerates were seen. Power to the hydrogen/bead heater was 3
kW on average. Power to the silane heater was 3 kW on average. Power to the
hydrogen preheater was 5kW initially decreasing to 3 kW as the hydrogen flow to
the preheater was reduced by the increase in flow to the bead cooler. Power to
the upper wall heaters varied between 3 and 5 kW depending on the amount of
20 cold undersize granules recycled to the reactor. Total power was 12 to 16 kW for
a production rate of 13.5 kg/hr, which is about 1kW/kg. Energy requirements are
based on a silane vapor feed.

25 Scale up of these reactors is much more feasible than in previous
technology as is illustrated in Fig 1b. The diameter of the main reactor is
increased from 10 cm to 50 cm which means increasing the cross-sectional area,
throughput and heat input requirements by 25 times. The bead heaters need to
deliver 25 times more heat and so must increase in surface area and /or in the
temperature of the heater

5 . In the example a center tube, 20, is increased to 10 cm thus doubling the
surface area per foot and an additional 8 tubes each 10 cm in diameter are
provided in an outer ring (21a through g) This provides 17 times the surface area
per foot and the length of the heaters is increased by 50% thus providing 25
times the surface area. Alternatively the length could be increased by 30% and
10 the temperature difference from the heater to the tube increased by 20% from 50
deg C to 60 deg C to provide the additional heat transfer. Thus there are three
parameters that may be adjusted to provide the extra heat transfer, the surface
area/ft, the length and the temperature difference. In practice a higher
temperature difference shifts the frequency of the radiant heat towards the visible
15 and more is transmitted through the quartz, which is an additional benefit. It is
also necessary to increase the number of silicon containing gas inlets and here it
is shown that 8 inlets are provided for the 25-fold increase in flow. Increasing the
inlet diameter and/or the pressure drop across them can do this. The fraction of
heat input lost to parasitic heat loss from cooling the nozzles decreases by a
20 factor of three.

In this design the heater elements are arranged in two rings an inner ring 22, and
an outer ring 23. This provides an efficient furnace. The outer ring is insulated,
23, on the outside, top and bottom. The top of the inner ring heater may or may
not be insulated depending on the distance of the heater from the silane inlet
25 nozzle. In general for larger reactors it is not insulated, as shown, to provide
more heat and in smaller reactors it is insulated to reduce the wall deposits. Gas
flow to each tube is pulsed and this may be done in any convenient fashion
providing that the flow is evenly distributed. Since it is convenient for bead
removal to be done via the center tube, this tube will normally have its own flow
30 controller.

An example of silicon deposition using a dual stage reactor that is designed for silane using the design shown in Figure 2 is as follows.

A quartz vessel consisting of a lower bead and gas heater zone of 90 cm length and 5 cm in diameter and a lower insulated reaction zone of 150 cm length and 10 cm diameter and an upper bead and gas heater zone of 90 cm length and 10 cm in diameter and a upper insulated reaction zone of 570 cm length and 13 cm diameter was loaded with a 480 cm bed of 850 micron average diameter silicon beads. The reactor, effluent piping and cyclone are well insulated. The hydrogen preheater and the bead /gas heater were set at 900 C, the SCG heater vapor temperature was set at 350 C. The lower wall heaters were set at 950 C and the upper wall heaters were set at 850 C. The pulsing of the argon flow on streams 1, 2 and 5 was started at 0.25 cycle per second in phase. A small cooling water flow of 120 ml/min was started to the silane inlet cooling devices 8a, 8b, 8c and 18a,b &c (25 ml ea) to provide thermal isolation of the inlet from the reactor temperature. The inlet water temperature was 35 C and the outlet temperature was 57 C for a parasitic heat loss of 180 watts. Other conditions and start up procedures were done as for the example above. At stable operating conditions the silane flow, 1, varied between 60-600 SLM and the recycle hydrogen flow, 5, varied between 30-300 SLM to provide the pulsing and were in phase with each other. The individual silane flows 1a, b, c, d, e &f each varied between 10-100 SLM in phase. Average bed temperature was 860 C at the bottom, dropping to 840 C at the top of the bed and 665 C at the top of the reactor. Recycle gas from the cyclone was at 400 C and from the hydrogen recovery unit was 100 C. The bed was observed to be slugging vigorously at the pulsing cycle of 0.25 cycle/sec

5 and some dust was carried over into the cyclone but pressure drop was stable
during the run.

Beads were removed as in the example above. The flow of stream 3 varied
between 20-200 SLM. The wall deposits that were formed were thicker than in
10 the first example but still could be etched off easily at the end of the run. After
the run the mass balance showed the deposited silicon was about 95% of the
theoretical yield and no major agglomerates were seen. Power to the
hydrogen/bead heater was 3 kW on average. Power to the hydrogen preheater
was 5kW initially decreasing to 1 kW as the hydrogen flow to the preheater was
reduced by the increase in flow to the bead cooler. Power to the silane heater
was 6 kW on average. Power to the lower wall heaters was 3kW on average.
Power to the upper wall heaters varied between 5 and 7 kW depending on the
amount of cold undersize granules recycled to the reactor. Total power was 22
15 to 24 kW for a production rate of 27 kg/hr, which is about 0.8-0.9 kW/kg. While
not shown it is also possible to provide a recycle bead heater on stream 16 which
will reduce the load on the upper wall heater and thus tend to reduce wall
20 deposits in that area.

An example of silicon deposition using dual stages and designed for
25 trichlorosilane using the design shown in Figure 3 is as follows:
The same quartz vessel and silicon granule bed as used in Example 2 is used. A
hydrogen superheater is supplied capable of heating hydrogen to 1300 C using
Kanthal heating elements. The important function of increasing the yield of silicon
from trichlorosilane is shown using gas heating in conjunction with wall heating.
30 In the trichlorosilane decomposition there are two main reactions; thermal

5 decomposition to silicon and silicon tetrachloride and hydrogen reduction to
silicon and hydrogen chloride. The second reaction produces more silicon per
mole of trichlorosilane but requires dilution with hydrogen and higher
temperatures. Since the reactions are equilibrium reactions the products of the
reaction of the reaction inhibit the reaction so direct recycle of effluent is not
10 advisable. Instead the residual hydrogen must be extracted from the effluent and
recycled through an external hydrogen recovery system and some additional
hydrogen is required. In this reactor the lower stage operates at lower hydrogen
to TCS ratio and at a lower temperature so the thermal decomposition reaction is
more important. In the upper stage the hydrogen to TCS ratio and the
temperature is increased thus using the hydrogen reduction reaction to obtain
15 additional yield of silicon. Since the TCS is fed as a liquid some changes were
made to the metering equipment and to the preheater which now also was to be
used as a vaporizer. The heat requirement were a little less than for silane
because of the lower TCS flow. In order to maintain the pulsing, spray nozzles
20 and liquid solenoid valves were placed directly on the inlet to the vaporizer.

A small cooling water flow of 60 ml/min was started to the silane inlet cooling
devices 8a, 8b, 8c (20 ml ea) and 90 ml/min to 18a, b & c (30 ml ea) to provide
thermal isolation of the inlet from the reactor temperature. The inlet water
25 temperature was 35 C and the outlet temperature was 57 C for 8a, b & c and 65
C for 18,a,b &c for a parasitic heat loss of 270 watts. . Other conditions and
start up procedures were done as for the example above. After startup on
hydrogen was achieved with the bed at 850 C the temperatures of the
hydrogen/bead heater at the bottom of the reactor was increased to 1000 C, the
30 lower wall heaters were increased to 1050 C and the upper heaters to 1150 C.

At stable operating conditions the trichlorosilane/dichlorosilane (98% TCS) flow in stream 1 was 12-120 SLM (4-40SLM per injector) and the temperature was 350 C, the ultra pure (less than 0.2 ppmwt carbon oxides and 0.2 ppmwt methane) hydrogen flows in stream 5 and 17 were varied between 30-300 SLM and the 10 hydrogen flow to the bead cooler was 2-20 SLM. Bed temperature was 860 C at the trichlorosilane inlet, increasing to 1100 C at the top of the bed and 1050 C at the top of the reactor. Beads were removed as in the example above. The flow of stream 3 varied between 2-20 SLM. The silicon tetrachloride vapor was added at the top of the reactor, stream 21, the temperature is 100 C and the flow is 75 SLM. Recycle gas from the cyclone was at 900 C and from the hydrogen 15 recovery unit was 20 C. The wall deposits that were formed were thicker in the wall heater zones but still could be etched off easily at the end of the run. After the run the mass balance showed the deposited silicon was about 95% of the theoretical yield and no major agglomerates were seen. Power to the hydrogen/bead heater was 4 kW on average. Power to the trichlorosilane 20 vaporizer/heater was 2.5 kW on average. Power to the hydrogen preheater was 5kW , to the hydrogen superheater was 10 kW . Power to the lower wall heaters was 3kW on average. Power to the upper wall heaters varied between 5 and 7 kW depending on the amount of cold undersize granules recycled to the reactor. 25 The silicon production was 1 kg/hr, which is about 25% of the silicon in the TCS. Power required was about 30 kW/kg. Energy requirements are based on a trichlorosilane liquid feed. It should be noted that in a dedicated TCS reactor the upper injectors for superheated hydrogen only need to be cooled at the joint not at the reactor penetration, which would save a small amount of heat (about 150 30 watts). The energy required to vaporize and heat the silicon tetrachloride is not

5 included because this is part of the recovery operation. Ultra pure hydrogen is required because of the greater hydrogen to silicon ratio in this reactor compared to a silane reactor. The specific level of purity required for the hydrogen diluent may be calculated by mass balance based on the silicon specification and the assumption that all carbon entering the reactor leaves in the silicon product.

10

The benefit of injecting the silicon tetrachloride at the top of the reactor is to move the equilibrium conditions so as to recover additional feedstock. The schematic of this sub-system is shown in Fig 3b. The silicon feedstock stream, 301, is mixed with the recycle stream 317 to form reactor feed stream, 319, (corresponding to stream 1 in Fig 3a) which is heated in the TCS heater to a temperature below its thermal decomposition temperature. The hydrogen feedstock stream, 302, is mixed with the recycle stream 313 to form reactor feed stream, 320, (corresponding to stream 5 in Fig 3a) heated in the hydrogen heater and then reacts in the reactor, 303. The use of multiple heaters and injection points as done in the preferred embodiment of the reactor does not affect the basic schematic. The silicon product leaves the reactor as Stream 304. The stream 305 enters the recovery reactor 306 (corresponding to the effluent piping and cyclone of Fig3a) where it is preferably combined with the STC stream 318 (corresponding to stream 21 in Fig 3a) and cools and reacts to reform the desired feedstock. This stream, 307, exits the recovery reactor and enters the rapid quencher, 308, where the gases are cooled rapidly to prevent decomposition of the newly reformed feedstock. The stabilized gases, 309, exiting the quench are then cooled further in the gas -liquid separator, 310, to condense most of the silicon containing species and the condensed liquids, 311, are separated from

5 the uncondensed gases, 310, which are primarily hydrogen and hydrogen
halides and are further treated in a gas separator, 312, to provide a stream, 313,
that is primarily hydrogen and a stream, 314, that contains most of the hydrogen
halide. This gas separator can be a cryogenic device, an absorption or
adsorption device, a membrane device or any combination thereof. The liquid
10 stream, 315, is then processed in a liquid separator into a stream, 317 which is
primarily silicon hydrogen halides and a stream, 318, which is substantially free
of silicon hydrogen halides. Such a separator will typically be a distillation
column but any techniques are suitable which will provide good separation. The
required degree of separation is determined by economics but typically the purity
of each stream would be about 98-99%. The benefit of such a scheme is that the
effluent from the system is only stream 314 which contains mostly hydrogen
chloride which can be directly recycled to react with silicon to make more TCS at
>90% yield. There is no recycle of pure silicon containing materials back to the
low purity TCS manufacturing unit and there is no waste STC stream that must
20 be converted to low value silica. Thus while the preferred reactor subsystem is
more expensive to build and operate than one without feedstock recovery and
thus designed with lower hydrogen flows and higher TCS flows the overall
system is more economic because of reduction in costs of the remaining
systems. A further reason for this preferred design is that it is plug compatible
25 with the standard existing rod reactors because they also recycle the STC to
extinction. Use of apparently cheaper reactors which do not fully convert the
silicon content in the TCS as substitutes for existing rod reactors would mean
either a reduction in silicon output or the need for expansion and modification of
the TCS production and purification facilities.

5 A key feature of the machine is the localized cooling of the silicon containing gas
injector nozzles. Fig 4 shows one way this may be implemented. Fig 4a is a
cross section of the nozzle assembly. The silicon containing gas at about 350 C,
stream 1, comes in through the ball joint, 2, which is sealed by an elastomeric o-
ring,3, positioned in an o-ring groove, 4, and which is cooled by water flowing
10 through a micro-channel,5, directly under the o-ring groove . It proceeds through
the inside of the inner quartz tube 6, which tapers down as it enters the reactor,
7. The tip of the nozzle, 16, is cooled by water flowing through the
circumferential micro-channel, 8 which is cut into the outer wall of the inner tube,
6 and sealed against the inner wall of the outer tube, 9. Water flows from the
water inlet 10, to the ball joint micro-channel, 5, via a micro-channel, 11, which is
15 cut into the outside of the inner tube 3 and then goes to the tip micro-channel,8,
via a micro-channel,12 on the other side of the outside of the inner tube 6. This
water is returned to the outlet, 14, via a return micro-channel 13. There several
features which are important. The machining of the circumferential micro-
channels must ensure that the water flows evenly on both sides. The water in
20 the circumferential micro-channels must flow fast enough, 10-100 cm/s, to obtain
good heat transfer at the tip. The supply and return micro-channels must not
cross each other. In Fig 4a way the incoming cold water goes first to the ball joint
via 11 then to the tip via 12 and is returned via 13 which is separated from 11 by
25 an uncut section of quartz between the inlet and outlet water tubes, 10 and 14.
This design provides higher flow rates than if the flow was divided and avoids the
problems of starving one section through flow maldistribution.

It is of course possible to have more than one circumferential micro-channel
providing good engineering practice is followed in design and fabrication to
30 prevent flow maldistribution. Fig 4b shows some details of the nozzle tip and
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5 ball joint. The nozzle tip micro-channel, 8, is shown as a micro-channel that is
3mm wide and 0.2 mm deep with its far end 1 mm from the end of the nozzle.
The nozzle tip 16 is rounded which reduces chipping damage and removes a hot
spot on the end of the nozzle where deposition might occur. The nozzle is
supported by 4 quartz nibs, 15, which provide additional strength without
10 contributing much to the heat transmitted back to the nozzle from the reactor
wall. In the cross section A-A, the four quartz nibs, 15, are shown to have little
area in contact with the nozzle and the circumferential water channel 8 is shown
as an annular space. In the cross section B-B the supply, 12, and return, 13,
micro-channels are shown as sectors produced by grinding flats on the outer wall
15 of the inner tube 6. It is of course possible to grind multiple flats or to grind
other shapes providing that the strength of the inner tube is adequate after
grinding and that the hydraulic diameter of the micro-channel is large enough to
allow the required cooling water flow at the available pressure drop. The ball
joint is shown as a standard 18/7 ball o-ring joint with a diameter of 18 mm at the
widest end of the o-ring micro-channel, 4, a tube outside diameter of 9.5 mm and
a nominal internal diameter of 7 mm, sealed to the inside of this is a 5-7.25
20 quartz tube which has been OD ground to fit tightly. The tight fit provides the
water seal between the entrance and return water lines. Circumferential micro-
channels are cut in the outside of this inner tube to provide the cooling at the ball
joint and tip and flats are ground to provide the water connections. The inner and
25 outer tubes are assembled, welded, annealed, ground to obtain the final shape
then acid treated to improve the surface finish and strength. The water
connections shown are standard 12/2 ball o-ring joint with a diameter of 12 mm
at the widest end of the o-ring groove, a tube outside diameter of 6 mm and a
30 nominal internal diameter of 2 mm. The relatively thick wall, 2mm, is beneficial in

5 preventing accidental breakage. The problem of accidental breakage is a major design issue for these quartz injectors because of the safety issues involved in handling pyrophoric materials. Simply making the injectors very large with thick walls increases the heat transmission back from the reactor and even such designs are prone to breakage if sufficient force is applied particularly if there is a
10 lever action involved such as is the case with the injectors since they must penetrate the insulation. Thus it is important to prevent movement of the nozzle so the rigid insulation used has recesses cut in the top to support the water connections. It is also important to prevent force being applied to the end of the nozzle by the connections so they must be very flexible. The water connections can be small diameter 1/8 inch tubing and coiled helically to provide flexibility.
15 The silicon containing gas connections typically need to be 1/4" tubing, stainless steel and insulated. However the pressure is low so thin wall corrugated tubing can be used and a similar helical coil may be employed. It is also possible to put short ,1 ft, lengths of smaller 1/8in or 3/16 in dia. tubing without increasing the pressure drop too much. The insulation must not restrict the movement of the tubing needed to accommodate differential thermal expansion during the various reactor operations. Selection of the O-ring material is also important as this provides a safety and contamination barrier for the reactor. The o-rings are usually selected from an elastomeric fluorocarbon material such as Viton or
20 Kalrez because of temperature considerations. The permeability of such material to contaminants such as oxygen, carbon dioxide and water vapor in the atmosphere varies with material composition and temperature. The materials can also slowly deteriorate giving off contaminants. The most critical consideration is control of the o-ring temperature, which should be maintained in the 25-150 C
25 range and preferably around 50C. It is of course possible to use multiple o-rings

5 for additional protection at some increased expense but all o-rings must have
their temperature maintained in the desired temperature range.

Fig 5 shows a layout of a preheater for a silicon containing gas. It consists of a
gastight vessel, 1, which contains three helical coils, 2, 3 & 4, of tubing each of the
10 same length coiled concentrically in the top half and one or more electrical
elements, 5, in the bottom half. The vessel is filled with high temperature heat
transfer fluid, 6, so as to cover the heating element/s, 5, during operation. A
temperature indicator controller or TIC, 7, is provided to control the temperature
of the fluid below the silicon containing gas decomposition temperature, 300-400
15 C. Each coil has separate inlets, 8, 9, 10 and outlets, 11, 12, 13. The lid 14 is
flanged to the top of the vessel and is removable for access to the coils. A
connection, 17, for a pressure gage and pressure relief valve is provided. The
penetrations of the coils through the lid are gas tight, typically welded. During
20 operation the silicon containing gas comes in through the separate inlets and
leaves without mixing through the outlets. The coils are free to expand and thus
exert no force on the connections through the lid which reduces the risk of leaks
commonly found at the tube to tubeplate connection of shell and tube heat
exchangers. The heat to the coils is supplied by condensing heat transfer fluid
vapor, which is produced by boiling the fluid with the electric heating element 5.
25 Alternatively the liquid may be removed through liquid outlet, 15, and vaporized
in an external vaporizer, not shown, then returned through the fluid inlet, 16, or
the liquid may be removed then heated but not vaporized and returned through
, 16. In this latter case it may be necessary to provide baffles and a higher mass
flow rate to provide adequate heat transfer. There are many other variants, such
30 as providing more than three coils, using U-shaped tubes or pancake coils which

5 one knowledgeable in the art can design. The coils may be straight tubing, corrugated, twisted or finned.

In an example of operation of this device the gas tight vessel, 1, was 10 inches in diameter and 15 inches tall and fabricated from 304L stainless steel. It was insulated on the top, bottom and sides with a 2" thick layer of Microtherm G

10 pressed silica insulation, 18. The coils, 2, 3 & 4 were each 10 ft long and made from 1/4-inch 304L S. S. tubing. The coil diameters were approximately 6 inches, 7 inches and eight inches. The electrical heater was a 4" diameter flange mounted heater of 4 kW with multiple Incoloy sheathed elements. The heat transfer fluid was filled to a depth of 8 inches. The fluid used was Dowtherm A. 15 Temperature of operation was controlled at 360 C (680 F) using the temperature indicator controller. Pressure in the vessel was 75 psig. The three coils each heated an average of 67 SLM of silane each from 20C to 330 C. Actual flow of silane per tube pulsed from 10-100 SLM.

20 Fig 6. shows a schematic representation of the non-contaminating sieving device. The hot beads, stream 1, fall into a cooler, 2, where a gas stream 3 may cool them, which then goes back up into the reactor thus recovering some heat from the beads. In addition the beads may be cooled by a water jacket, 5, with

25 inlet an outlet streams, 5a & 5b. A gas stream 6 is pulsed into tube, 7, which also may be cooled by a water jacket, 8, if desired. The pulsing gas stream pushes a fixed amount of beads out of the cooler, 2, into the siever tube 9. The beads fall onto the first sieve, 10, and the beads smaller than the sieve pass through while the larger beads are retained and exit through stream, 11. These beads may be pulsed using the gas stream 12 through a tube 13 into a container 14. It is

30 advantageous to use a bead detection device, 15, to adjust the bead flow into the U.S. Patent Application of S. M. Lord – Page 48

5 container 14 so as to maintain the exit tube, 11, full or nearly full of large beads. This reduces the chance of smaller beads exiting with the large beads and provides a measurement of the flow of large beads since the pulsing flow of the gas stream 12 may be correlated with the bead flow. The sieving process may be repeated as shown with a smaller size sieve, 16, using tubes 17 and 19, gas

10 flow 18 and container 20. The residual undersize beads then fall to the bottom, 21, where they can be removed via tube 23 and gas stream 22 to a container 24. This container can be used to replenish the reactor in a variety of ways apparent to one skilled in the art including pneumatic transport and physically moving the container to a bead feeding port. Such beads may also be reheated. All material with which the beads come into contact must be noncontaminating. Such

15 materials include electronic grade silicon and high purity silicon oxide. The degree of contamination that may be permitted is higher for the product beads than for the return beads since the product is only handled once while the returning beads may be handled multiple times. A further pulsing gas flow, 25, is provided to pulse the beads upwards off the sieves during the sieving process.

20 This prevents blinding of the sieve by oversize material and replaces the vibration normally provided for sieving which is difficult to implement in a non-contaminating system. This gas flow also removes dust particles, which are blown overhead into a dust collector, 26. This dust is undesirable in the product

25 and is likely to contain contaminants from the reactor and from the sieving process.

Fig 6A shows one possible variation of this concept where two sieves 31,32, are mounted under each other lengthwise in a cylinder, 33, which is then tilted. The

30 beads are fed in pulses through inlet 30. Large beads leave through exit tube, 34,

5 into container 35, medium beads through exit tube, 36, into container 37, the undersize through exit tube 38 into container 39. The cross-section shows that the sieves can be slanted to the center to aid in the transition to the exit tube.

10 The pulsing gas comes in through inlet, 40, and leaves through exit 41. In design of this variant it is necessary to provide even flow distribution across the sieves

15 which can be done by providing that most of the pressure drop is across the sieves.

An example using Fig 6 is as follows. The feed and exit tubes were 1/4 in i.d., the sieve tube was 1in i.d. Sieve 10 had 1.2 mm holes on a triangular pattern with 1.5 mm spacing. Sieve 16 has 1mm holes on a triangular pattern with 1.2 mm spacing. The angle of the sieves to the horizontal was 45 degrees. The angle of the feed and exit tubes were 30 degrees. The holes were drilled at a 45-degree angle to the plate so the holes were vertical after installation. The thickness of the silicon sieves was 1.5 mm. The bead pulse size was 6gm. The gas pulses of stream 25 were 0.8 sec and 0.8 sec off and two pulses were provided per sieving cycle. The sieve cycle time was 6 seconds for a processing rate of 3.6 kg/hr. 1% was carried overheads as dust, 9% of the beads were oversize, 40% were product size and 50% were undersize. All the tubes were high purity quartz and selected to be low in boron, phosphorus, aluminum, iron and other metals. The 20 sieves were made from high purity undoped silicon wafers which are lower in metals than the high purity quartz and were laser drilled then etched to remove contaminants and surface cracks and to round the edges of the holes.

25

30 In summary this new machine provides several new techniques which in combination are able to make low cost, shiny, round and high purity silicon

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5 granules, using a low cost reactor with low operating costs which overcomes the previous operating problems of contamination, heating, agglomeration and granule size control, is safe and easy to operate, maximizes silicon yield from feedstock and minimizes external support equipment.

10 The most important new techniques are; separating the heating of the silicon feedstock gases from the non silicon containing gases and heating the latter to a temperature above the reactor temperature; heating the granules in a heater section and using the pulsing of the gas flow to move the beads into and out of a reactor section; cooling only the localized area around the silicon feedstock entry and minimizing said entries; providing non contaminating sieving and grinding techniques for online removal of large product granules and online or offline grinding of seed granules; a feedstock recovery subsystem which can recycle the byproducts to extinction and providing multiple heater/reactor stages in one vessel.

15 20 Separately heating the silicon feedstock gases means that the diluents may be heated to a much higher temperature however it may be beneficial to introduce small amounts of diluents into the silicon feedstock gases at times and it is certainly required to provide a purge flow when the feedstock gases are not

25 flowing. Heating the granules in a heater section and using the pulsing of the gas flow to move the beads into and out of a reactor section allows the beads to be heated to a temperature higher than the reactor temperature with reduced risk of wall deposit formation then returned to the reactor without contamination and can be done using any heating technique and in conjunction with any other reactor
30 heating technique. The pulsing of the beads back and forth also breaks up

5 agglomerations of beads and prevents channeling inside the reactor so it is
possible to provide pulsing for the purposes of agglomeration breakup and use
another heating technique although the method of using the pulsing of the beads
for both purposes is believed to be the best approach. Cooling only the localized
area around the silicon feedstock entry and minimizing said entries provides a
10 method of preventing silicon deposition in and around the immediate vicinity of
the nozzle which can ultimately plug the nozzle at a minimum parasitic heat loss
from the cooling. Other approaches are also feasible such as using a lower
temperature for the silicon containing gases but this imposes a higher heat loss,
it is also possible to use more nozzles but this has a similar effect. Providing
15 non-contaminating sieving and grinding techniques for online removal of large
product granules and online or offline grinding of seed granules provides a way
to obtain high purity product with one or more narrow size distributions and to
maintain the granule population in balance by providing seed. The approach
shown can be partially implemented with some benefits or in different ways. For
20 example the beads could be kept hotter which would reduce the heat required to
reheat the beads returned to the reactor but at the risk of agglomeration and
increased handling problems. The beads could be cooled and reheated using
heat exchangers to conserve heat at the cost of additional complexity. A
feedstock recovery subsystem, for trichlorosilane or tribromosilane, which can
25 recycle the byproducts to extinction, provides a method for improving silicon
feedstock utilization and providing a plug-compatible fluid bed reactor system to
replace rod reactors without changing the feedstock production facilities. The
method shown can be modified to produce more silicon per reactor pass at the
expense of greater by product production or vice versa and byproducts such as
30 dichlorosilane and silicon tetrachloride could be removed in a pure form for sale.

5 Providing multiple heater/reactor stages in one vessel gives the opportunity to add additional reactants such as silane or hydrogen and to obtain larger throughputs for a given footprint. It also allows production of very large beads in the bottom section and in the case of silane operation at lower hydrogen to silane ratios, which reduces the risk of contamination. It is possible to have as many 10 stages as is desired and to adjust the diameter of each section independently.

Additional novel features are; heat recovery from granules by direct contact with non silicon containing gases; external flow control of each injection point; heating of the silicon containing gases by a liquid or vapor whose temperature is not high enough to cause decomposition of the gases, measuring reactor weight and pulsing force continuously and using one or more high efficiency cyclones to removes small diameter dust.

20 Additional benefits of the design are that separating the heater and reactor section enables the use of cheap resistance heaters and of different diameters for the two sections, the pulsing action itself polishes the granules, recirculation and reinjection into the reactor of the undersize granules provides new seed particles.

25

While the invention has been described in connection with a preferred embodiment, it is not intended to limit the scope of the invention to the particular 30 form set forth, but on the contrary, it is intended to cover such alternatives,